

# **MINUTES**

## **CALIFORNIA TRANSPORTATION COMMISSION**

**December 8-9, 1999  
Anaheim, California**

---

The meeting of the California Transportation Commission was called to order on December 8, 1999 at 1:45 p.m. at the City of Anaheim Council Chamber, 200 South Anaheim Boulevard, in Anaheim, California.

The Commissioners' attendance was as follows:

Members present:     Edward Sylvester, Chairman  
                             Dana Reed, Vice Chairman  
                             Robert Abernethy  
                             James Kellogg  
                             Roger Kozberg  
                             Esteban Torres  
                             Robert Wolf  
                             Senator Betty Karnette, Ex-Officio

Members absent:     Jeremiah Hallisey  
                             Assemblyman Tom Torlakson, Ex-Officio

The meeting recessed at 4:14 p.m. and reconvened on December 9, 1999 at 9:25 a.m.

The Commissioners' attendance was as follows:

Members present:     Edward Sylvester, Chairman  
                             Dana Reed, Vice Chairman  
                             Robert Abernethy  
                             James Kellogg  
                             Roger Kozberg  
                             Esteban Torres  
                             Robert Wolf

Members absent:     Jeremiah Hallisey  
                             Senator Betty Karnette, Ex-Officio  
                             Assemblyman Tom Torlakson, Ex-Officio

### **1. GENERAL MATTERS**

#### **1.(1) Approval of Minutes for November 3-4, 1999 Meeting**

The November 3-4, 1999 meeting minutes were presented for approval. Vice Chairman Reed moved to approve the minutes. Commissioner Wolf seconded the motion which carried 7-0 (Commissioner Hallisey was absent).

**1.(2) Commissioners' Meetings for Compensation**

The List of Commissioners' Meetings for Compensation was presented for approval. Vice Chairman Reed moved to approve the List. Commissioner Wolf seconded the motion which carried 7-0 (Commissioner Hallisey was absent).

**1.(3) Consent Calendar**

Deputy Director Robert Chung presented the Consent Calendar. The changes to the Consent Calendar were as follows:

- Items 2.1a(10) and 2.4b were withdrawn from the Consent Calendar .
- Item 2.3c Relinquishment Resolution #R-3419 and #R-3420 were not ready to proceed and withdrawn from the Agenda.
- Item 2.4b Resolutions of Necessity #C-17922, C-17923, C-17925, C-17926, and C-17931 were withdrawn from the Agenda.
- Item 2.5a Minor Projects #6, 11, 12, and 13 were withdrawn and Project #16 was added making the total allocation \$4,634,000 for twelve minor projects.
- Item 2.5b(2) Project #6 was withdrawn making the total allocation \$24,680,000 for seven SHOPP projects.
- Item 2.5b(5) was withdrawn from the Agenda by Caltrans prior to the meeting.

During the discussion of the Consent Calendar changes, staff was asked to present written updates to the distributed Consent Calendar with brief explanation of changes, where appropriate, and to provide these written updates to all Commissioners at each Commission meeting.

**ACTION: R. Chung/D. Pritchard**

Vice Chairman Reed moved to approve the Consent Calendar as changed. Commissioner Wolf seconded the motion which carried 7-0 (Commissioner Hallisey was absent).

**1.(4) Information Calendar**

Executive Director Remen briefly presented the Information Calendar and the purpose behind utilizing it. The Commissioners noted which items on the Information Calendar should will be presented on the Consent Calendar next month for Commission action.

**1.(5) Commission Reports**

- Election of Officers

Commissioner Kellogg nominated Vice Chairman Reed as the Chairman for 2000. Commissioner Wolf seconded the motion which carried 7-0 (Commissioner Hallisey was absent).

Commissioner Torres nominated Commissioner Kellogg as Vice Chairman for 2000. Commissioner Abernethy seconded the motion which carried 7-0 (Commissioner Hallisey was absent).

Chairman Reed asked staff to schedule a meeting with each Commissioner to review administrative matters for the coming year.

**ACTION: R. Remen**

Commissioner Abernethy identified, as issues of high interest to him, the following: the Commission Meeting format, the Bay Area ferry service, and BART service to San Jose. The following action items resulted from his report:

Review the policy of scheduling Commission meetings around the state and to consider alternatives.

**ACTION: R. Remen/Chairman Reed**

Schedule for the March Commission meeting a full presentation on current ferry service, plans for expanding service and environmental constraints and challenges to that expansion.

**ACTION: R. Chung/T. McMillan**

Continue to explore the option of extending BART to San Jose in conjunction of higher density and lower cost housing for police, fire and school public employees near transit stations.

**ACTION: R. Chung**

**1.(6) Business, Transportation and Housing Agency Report**

There was no report this month.

**1.(7) Caltrans Report**

Caltrans Director Jose Medina highlighted Caltrans' achievements in 1999 that contributed to the Governor's goal of to improve delivery and relieve congestions.

Bruce Blanning, PECG, discussed the cooperation between Caltrans Management and PECG.

**1.(8) Regional Agencies Report**

Regional Agencies Moderator Vic Kamhi reported on the Regional Agencies meeting. During the discussion, staff was asked to update and refine the accounting for RSTP and CMAQ obligations, documenting any unused apportionments from both ISTEA and TEA-21 to present in order to allow implementation of the provisions of AB 1012.

**ACTION: P. Hathaway/D. Petrie/D. Mah/G. Hagen**

**1.(9) Update on Orange County Transportation Issues, Including I-5 Corridor**

Lisa Mills, OCTA, welcomed the Commission to Orange County, discussed Orange County's focus on multi-modal transportation improvements, and reviewed the I-5 corridor projects.

Ken Nelson, Caltrans' District 12, reviewed the timelines and construction phases for I-5 corridor projects. He also discussed the status of future projects and reviewed goals and objectives for Orange County TOPS projects.

**2. PROJECT BUSINESS MATTERS****2.1 Program Amendments/Project Approvals****2.1a. STIP Amendments for Action****2.1a.(1) STIP Amendment 98S-64 - Action**

Programs \$5,156,000 in State-only funds for nine new local road rehabilitation projects and \$12,146,000 for three new and/or reconstruction projects, for a total of \$17,302,000 from Trinity County's Unprogrammed Share Balance. The Trinity County Transportation Commission is requesting this amendment.

This item was approved on the Consent Calendar.

**2.1a.(2) STIP Amendment 98S-65 - Action**

Programs \$222,000 in State-only funds in FY 1999-00 and FY 2000-01 from Sacramento County's Unprogrammed Share Balance to a new \$3,104,000 bicycle and pedestrian access project in the vicinity of California State University, Sacramento. The Sacramento Area Council of Governments (SACOG) is requesting this amendment.

This item was approved on the Consent Calendar.

**2.1a.(3) STIP Amendment 98S-66 - Action**

Programs \$1,800,000 for a widening project in the City of Chico and \$1,010,000 in State-only funds for four local road rehabilitation projects, for a total of \$2,810,000 from Butte County's Unprogrammed Share Balance. The Butte County Association of Governments (BCAG) is requesting this amendment.

This item was approved on the Consent Calendar.

**2.1a.(4) STIP Amendment 98S-67 - Action**

Changes the applicant status on a Transportation Enhancement Activities (TEA) bicycle/pedestrian trail relocation project from the California Department of Parks and Recreation to the City of San Buenaventura and changes the scope from construction to preliminary engineering only.

This item was approved on the Consent Calendar.

- 2.1a.(5)** STIP Amendment 98S-68 - Action  
Reprograms \$25,000,000 of State-only funds from construction in FY 2002-03 and FY 2003-04 to State-administered federal funds for the acquisition of light rail vehicles in FY 1999-2000, for the San Francisco Municipal Railroad's (MUNI) 3rd Street Light Rail Extension project. The San Francisco Public Transportation Commission, with concurrence of the Metropolitan Transportation Commission (MTC), is requesting this amendment. (Concurrent Allocation under Item 2.6c.(1).)

This item was approved on the Consent Calendar.

- 2.1a.(6)** STIP Amendment 98S-69 – Action  
Reprograms \$2,500,000 of State-only funds from Orange County's Unprogrammed Share Balance for a \$8,988,000 Laguna Niguel/Mission Viejo Commuter Rail Station project. The Orange County Transportation Authority (OCTA) is requesting this amendment. (Related Item 2.8b.(2).)

Refer to Item 2.8b(2) for proceedings related to this item.

- 2.1a.(7)** STIP Amendment 98S-70 - Action  
Reprograms a \$300,000 Moorpark Gateway landscape Transportation Enhancement Activities (TEA) project in Ventura County to a \$300,000 Camarillo Rail Station Public Art TEA Project, and also transfers the applicant status from the City of Moorpark to the Ventura County Transportation Commission (VCTC). The Ventura County Transportation Commission is requesting this amendment. (Concurrent Allocation under Item 2.5c.(3).)

This item was approved on the Consent Calendar.

- 2.1a.(8)** STIP Amendment 98S-71 - Action  
Combines the Crazy Horse Canyon Road Interchange and the Russell-Espinosa Interchange projects with the Prunedale Bypass project, and programs \$12.9 million in federal demonstration funds which reduces both Interregional and Regional shares and replaces local shares; combines the Route 101/156 Operational Improvements project with the Route 101/156 Interchange project, and splits out \$600,000 to fund a Public Awareness Campaign for the combined Route 101/156 project; and splits the San Miguel Road Interchange project into three projects, with \$600,000 to fund a Public Awareness Campaign, \$120,000 in Financial Contribution Only to fund a traffic signal project, and the remaining funds for the parent project. The Transportation Agency for Monterey County (TAMC) and Caltrans are requesting this amendment. (Concurrent Allocations under Item 2.5b.(1).)

This item was approved on the Consent Calendar.

- 2.1a.(9)** STIP Amendment 98S-72 - Action  
Reprograms \$56,584,000 of Regional Improvement Program (RIP) funds for construction and construction engineering from FY 2000-01 to FY 2001-02 for a High Occupancy Vehicle (HOV) project on Route 60 in and near the City of Industry in Los Angeles County. The Los Angeles County Metropolitan Transportation Authority (LACMTA) is requesting this amendment.

This item was approved on the Consent Calendar.

- 2.1a.(10)** STIP Amendment 98S-73 - Action  
Deletes \$38,346,000, of the total programmed amount of \$38,916,000, of Interregional Improvement Program (IIP) funds from two auxiliary lane projects on Route 91 in Orange and Riverside Counties. The difference of \$570,000 for support costs has been expended for environmental work. Caltrans is requesting this amendment.

This item was pulled from the Consent Calendar for separate discussion and vote.

Commissioner Wolf briefly reviewed the Route 91 freeway improvement projects.

Jeffrey Bennett, Mayor of Corona, stressed the need to advance the Greenriver Improvement Project on Route 91 from 2008 to 2001 and requested that a workshop be conducted to discuss the long range plans.

Commissioner Abernethy suggested that the City report back to the Commission in February or March on their efforts to mitigate congestion problems in the Routes 91/71 area through affordable housing.

**ACTION: Mayor Bennett**

Jim Nicholas, Caltrans, discussed ability to start the environmental work now pursuant to AB 1012 and subsequent programming during the 2002 cycle.

Commissioner Wolf discussed the importance of coordinating this project with the needs of Los Angeles, Riverside, and Orange counties.

Commissioner Reed moved to approve the amendment. Commissioner Kozberg seconded the motion which carried 7-0 (Commissioner Hallisey was absent).

- 2.1a.(11)** STIP Amendment 98S-74 - Action  
Programs \$7,951,000 for 13 new bus/van transit projects and \$216,000 for one specialty vehicle rehabilitation project, for a total of \$8,167,000 from Los Angeles County's Unprogrammed Share Balance. The Los Angeles County Metropolitan Transportation Authority (LACMTA) is requesting this amendment.

This item was approved on the Consent Calendar.

**2.1a.(12) STIP Amendment 98S-75 - Action**

Programs \$83,867,000 from Los Angeles County's Unprogrammed Share Balance for 22 projects, and exchanges \$3,535,000 of Regional Improvement Program (RIP) funds for local funds on one existing project (PPNO 4293). The Los Angeles County Metropolitan Transportation Authority (LACMTA) is requesting this amendment.

This item was approved on the Consent Calendar.

**2.1a.(13) STIP Amendment 98S-76 - Action**

Programs \$19,463,000 for three new highway projects and \$9,064,000 for four existing highway projects, for a total of \$28,527,000 from Los Angeles County's Unprogrammed Share Balance. The Los Angeles County Metropolitan Transportation Authority (LACMTA) is requesting this amendment.

This item was approved on the Consent Calendar.

**2.1b. STIP Amendments for Notice****2.1b.(1) STIP Amendment 98S-77 - Notice**

Programs \$6,000,000 in Federal High Priority Demonstration funds; \$105,316,000 in new Federal Emergency Relief (ER) funds in addition to the already programmed Federal ER funds (\$43,629,000 capital and \$8,296,000 support); decreases Interregional Improvement Program (IIP) funding by \$1,750,000, from \$2,500,000 to \$750,000; and programs \$750,000 from San Mateo County's Unprogrammed Share Balance for the Devil's Slide project on Route 1, for a total project cost of \$164,741,000. The San Mateo County Association of Governments, with the concurrence of the Metropolitan Transportation Commission (MTC), and Caltrans are requesting this amendment.

This item was presented on the Information Calendar and noticed as required.

**2.1b.(2) STIP Amendment 98S-78 - Notice**

Programs \$230,000 in State-only funds from Sierra County's Unprogrammed Share Balance as match for \$913,000 in Federal Highway Bridge Replacement and Rehabilitation (HBRR) funds allotted to replace one local bridge for \$348,000 and rehabilitate two local bridges for \$795,000. The total cost for the three bridge projects is \$1,143,000. The Sierra County Transportation Commission (SCTC) is requesting this amendment.

This item was presented on the Information Calendar and noticed as required.

- 2.1b.(3)** STIP Amendment 98S-79 - Notice  
Programs a total of \$5,217,000 from Ventura county's Unprogrammed Share Balance, including \$4,540,000 in FY 2000-01 for a new Montalvo Metrolink Station project with track and signal improvements in the City of San Buenaventura, and \$677,000 in FY 1999-00 to augment the \$3,035,000 Intercity Rail Metrolink Tunnel 26 Improvements project. The Ventura County Transportation Commission (VCTC) is requesting this amendment.

This item was presented on the Information Calendar and noticed as required.

- 2.1b.(4)** STIP Amendment 98S-80 - Notice  
Programs \$725,000 from San Joaquin County's Unprogrammed Share Balance for a noise barrier project at the Roosevelt Elementary School, adjacent to southbound State Route 99 between Main Street and Horner Avenue, in the City of Stockton. The San Joaquin Council of Governments is requesting this amendment.

This item was presented on the Information Calendar and noticed as required.

- 2.1b.(5)** STIP Amendment 98S-81 - Notice  
Programs \$150,000 from Lassen County's Unprogrammed County Share Balance for Planning, Programming and Monitoring in Lassen County. The Lassen County Transportation Commission (LCTC) is requesting this amendment.

This item was presented on the Information Calendar and noticed as required.

- 2.1b.(6)** STIP Amendment 98S-82 - Notice  
Programs \$3,969,000 from Butte County's Unprogrammed Share Balance for five projects: \$937,000 for two new local pavement rehabilitation projects, \$1,777,000 for two new reconstruction projects, and \$1,255,000 of State-only funds as match for \$5,040,000 of Federal Highway Bridge Replacement and Rehabilitation (HBRR) funds allotted for a \$6,300,000 local bridge replacement project. The Butte County Association of Governments (BCAG) is requesting this amendment.

This item was presented on the Information Calendar and noticed as required.

- 2.1b.(7)** STIP Amendment 98S-83 - Notice  
Reprograms a total of \$6,264,000 in Grandfathered Transportation Enhancement Activities (TEA) funds from eleven projects in seven counties to eight new projects; rescopes two TEA projects, deletes one project and transfers \$1,868,000 of those TEA funds to the Conservation Lands Program. The Department of Transportation is requesting this amendment.

This item was withdrawn by Caltrans prior to the meeting.



- 2.1b.(8)** STIP Amendment 98S-84 - Notice  
Deletes four retrofit soundwall projects programmed during the 1998 STIP cycle in San Joaquin, Santa Barbara, and Ventura Counties totaling \$5,090,000. The San Joaquin Council of Governments, Santa Barbara County Association of Governments, Ventura County Transportation Commission and the Department of Transportation are requesting this amendment.

This item was presented on the Information Calendar and noticed as required.

- 2.1b.(9)** STIP Amendment 98S-86 - Notice  
Programs \$7,500,000 from Los Angeles County's Unprogrammed Share Balance to fund a freeway call box accessibility project that was negotiated as part of a recently settled freeway call box accessibility lawsuit. The Los Angeles County Metropolitan Transportation Authority (LACMTA) is requesting this amendment.

Wayne Lewis, Caltrans, reviewed the project and noted that it would be presented at the next meeting for Commission action. The Commissioners discussed the STIP Amendment and asked LACMTA to organize a briefing for Chairman Reed, Commission staff, and Caltrans District 7 staff on the call box accessibility issue prior to the January Commission meeting.

**ACTION: V. Kamhi**

**2.1c.** TCI Amendments for Notice/Action

No Items This Month

**2.1d.** Proposition 116 - Non-Urban County Project Approvals/Amendments

- Project Approval Amendment for the City of Clearlake, in Lake County, to reprogram \$302,400 in unexpended funds from the Austin Road Bicycle Path project to the Olympic Drive Pedestrian Improvements project. For safety reasons, the Olympic Drive Pedestrian Improvements project has been deemed the highest priority use of the Proposition 116 funds available to the County and the City. (Concurrent Allocation Amendment under Item 2.6e.(1) and Allocation under Item 2.6b.(3).) Resolution PA-99-\_\_\_\_, Amending Resolution PA-97-10

This item was approved on the Consent Calendar.

**2.1e.** Proposition 116 - Rail Project Approvals/Amendments

- Project Approval for \$12,327,000 to Department of Transportation for Oakland Maintenance Facility. (Concurrent Allocation under Item 2.6d.)  
Resolution PA-99-\_\_\_\_

This item was approved on the Consent Calendar with modifications to the conditions in the Proposition 116 application and allocation.

**2.2** Environmental Matters**2.2a.** Notices of Preparation of Environmental Impact Reports (EIR)

No Items This Month

**2.2b.** Comments on Documents in Circulation (Draft EIR)

No Items This Month

**2.2c.** Approval of Projects for Future Consideration of Funding and Adoption of Findings from Final EIRs

- 2.2c.(1)** Route 5 in Orange and Los Angeles Counties- Construct interim HOV lanes between Route 91 in Buena Park and Route 605 in Santa Fe Springs.  
Resolution E-00-09

Chief Deputy Director Pete Hathaway noted that the project would have no significant impacts and recommended approval.

Vice Chairman Reed moved to approve the Resolution. Commissioner Kozberg seconded the motion which carried 5-0 (Commissioners Abernethy, Hallisey, and Kellogg were absent).

- 2.2c.(2)** Route 395 in Mono County- Construct passing lanes north of the town of Coleville.  
Resolution E-00-10

Chief Deputy Director Pete Hathaway noted that the project would have no significant impacts and recommended approval.

Vice Chairman Reed moved to approve the Resolution. Commissioner Kozberg seconded the motion which carried 5-0 (Commissioners Abernethy, Hallisey, and Kellogg were absent).

**2.3** Highway Route Matters**2.3a.** Route Adoptions

No Items This Month

**2.3b.** New Public Road Connections

No Items This Month

**2.3c.** Five Relinquishment Resolutions

- 04-CC-680-PM 3.1 Route 680 in the City of San Ramon  
Resolution R-3415
- 04-SCI-82-PM 10.3/11.0 Route 82 in the City of Santa Clara  
Resolution R-3416
- 04-SCI-82-PM 11.5/12.4 Route 82 in the City of Santa Clara  
Resolution R-3417
- 06-Fre-41-PM R20.1/R20.7 Route 41 in the City of Fresno  
Resolution R-3418
- 11-SD-805-PM 27.5 Route 805 in the City of San Diego  
Resolution R-3421

This item was approved on the Consent Calendar.

**2.3d.** Two Vacation Resolutions

- 02-Tri-299-PM 53.2 Route 299 in the County of Trinity  
Resolution A-844
- 08-SBd-173-PM 18.9 Route 173 in the County of San Bernardino  
Resolution A-845

This item was approved on the Consent Calendar.

**2.4** Highway Right of Way Matters**2.4a.** Resolutions of Necessity – Appearances

No Items This Month

**2.4b.** 19 Resolutions of Necessity  
C-17909 through C-17921  
C-17924, C-17927 through 17930  
C-17932

This item was pulled off the Consent Calendar for separate vote.

Commissioner Kellogg moved to approve the Resolutions of Necessity. Commissioner Wolf seconded the motion which carried 6-1 (Commissioner Abernethy voted no and Commissioner Hallisey was absent).

**2.4c.** Airspace Lease Direct Negotiations

No Items This Month

**2.4d.** Director's Deeds

- Items #1 Through 7  
Excess Lands - Return to State: \$821,460  
Return to Others: \$0

This item was approved on the Consent Calendar.

**2.5** Highway Financial Matters**2.5a.** Financial Allocation for Twelve Minor Projects totaling \$4,634,000  
plus \$108,400 from other sources.  
Resolution FP-99-34

This item was approved on the Consent Calendar.

**2.5b.** Financial Allocation for STIP/SHOPP/TEA Projects on the State Highway System**2.5b.(1)** Five STIP Projects totaling \$9,873,000.  
Resolution FP-99-35

This item was approved on the Consent Calendar.

**2.5b.(2)** Seven SHOPP Projects totaling \$24,680,000  
Resolution FP-99-36

This item was approved on the Consent Calendar.

**2.5b.(3)** Two SHOPP Projects Amended into the SHOPP  
by Department Action totaling \$7,081,000.  
Resolution FP-99-37

Jim Nicholas, Caltrans, reviewed the projects.

Commissioner Abernethy moved to approve the projects. Commissioner Kellogg seconded the motion which carried 7-0 (Commissioner Hallisey was absent).

- 2.5b.(4)** One SHOPP Project Amended into the SHOPP  
by Department Action for \$79,000.  
(Caltrans District 2 Building)  
Resolution FP-99-27

Jim Nicholas, Caltrans, reviewed the projects.

Vice Chairman Reed moved to approve the projects. Commissioner Kozberg seconded the motion which carried 5-0 (Commissioners Abernethy, Hallisey, Kellogg were absent).

- 2.5b.(5)** One Grandfathered TEA Project for \$2,301,000.  
Resolution FP-99-44

This item was withdrawn from the agenda by Caltrans prior to the meeting.

**2.5c.** Financial Allocation for STIP/TEA/CL/EEM Projects off the State Highway System

- 2.5c.(1)** Eight Local Assistance STIP Projects totaling \$130,058,000  
plus \$562,874,951 from other sources.  
Resolution FP-99-38

This item was approved on the Consent Calendar.

- 2.5c.(2)** One Local Assistance STIP Project for \$590,000  
plus \$145,000 from other sources.  
(Related Item 5.1.)  
Resolution FP-99-42

Refer to Item 5.1 for proceedings related to this item.

- 2.5c.(3)** One Local Assistance TEA Project totaling \$300,000  
plus \$39,000 from other sources.  
Resolution FP-99-39

This item was approved on the Consent Calendar.

- 2.5c.(4)** Three EEM Projects totaling \$871,650 plus \$1,615,000  
from other sources.  
Resolution FP-99-40

This item was approved on the Consent Calendar.

- 2.5c.(5)** One Local Assistance STIP Project for \$1,200,000.  
Resolution FP-99-43

This item was approved on the Consent Calendar.

**2.5d.** Financial Allocation for Project with Costs Greater than 120 Percent of Program Amount - Two Projects totaling \$30,791,000.  
Resolution FP-99-41

- 07-LA-605 - SHOPP Project in Los Angeles County on Route 605. Rehabilitate Roadway. Current programmed value of \$6,737,000 and current estimate of \$9,980,000, for a 48 percent increase over programmed amount.
- 09-Mno-395 – STIP Project in Mono County on Route 395. Widen 2-lane highway to 4-lanes. Current programmed value of \$16,311,000 and current estimate of \$20,811,000, for a 27 percent increase over programmed amount.

Jim Nicholas, Caltrans, review LA-605 project and discussed the cause of the cost increase.

Vice Chairman Reed moved to approve the additional funding. Commissioner Kozberg seconded the motion which carried 5-0 (Commissioners Abernethy, Hallisey, and Kozberg were absent).

Jim Nicholas, Caltrans, reviewed the Mono County Route 395 project and explained why additional funding was required. The Commissioners discussed the level of Mono County's participation and directed Caltrans to secure from Mono county a commitment to participate to some degree in the \$4 million cost increase using Mono County's authority under SB 45 to advance programming capacity from future STIPs.

**ACTION: T. Hallenbeck**

Vice Chairman Reed moved to deny additional funding for the project. Commissioner Wolf seconded the motion. Chairman Sylvester ordered a roll call vote. The motion carried 3-2 as follows:

AYES: Commissioners Reed, Torres, and Wolf (3)

NOES: Commissioners Kozberg and Sylvester (2)

ABSENT: Commissioners Abernethy, Hallisey and Kellogg

**2.5e.** Financial Allocation for Supplemental Funds for Previously Voted Projects  
One Project for \$15,000.  
Resolution FA-99-05

- 07-LA-5 - STIP Project in Los Angeles County on Route 5. Original vote \$2,078,000. Awarded for \$2,485,700. Current allocation \$2,645,700. This request of \$15,000 for claims settlement, for a grand total of \$2,660,700. 7 Percent increase over award.

Jim Nicholas, Caltrans, reviewed the request for supplemental funds and explained why additional funding was required.

Vice Chairman Reed moved to approve the allocation. Commissioner Torres seconded the motion which carried 5-0 (Commissioners Abernethy, Hallisey, and Kellogg were absent).

**2.5f.** Information Report on Allocations Under Delegated Authority

- 2.5f.(1)** Emergency: G-11 Allocations  
One Project for \$700,000,  
Plus Three Additional Emergency Projects  
For \$501,000 Approved by Caltrans after  
Preparation of the December 1999 Meeting Material  
For a Total of Four Emergency Projects Totaling \$1,201,000.
- 2.5f.(2)** Phase II Seismic Retrofit - G-11 Allocations  
One Project for 700,000.

Jim Nicholas, Caltrans, reviewed Items 2.5f(1 and 2) G-11 allocations.

- 2.5f.(3)** SHOPP Rehabilitation: G-99-10 Allocations  
Two Projects totaling \$9,281,000.

This item was presented on the Information Calendar.

- 2.5f.(4)** Local Assistance Allocation for Local Storm Damage and Local  
Street and Road Pavement Rehabilitation: G-99-09 Allocations  
46 Projects totaling \$11,393,000.

This item was presented on the Information Calendar.

**2.6** Mass Transportation Financial Matters**2.6a.** Financial Allocation for Transit Capital Improvement (TCI) Program Projects

No Items This Month

**2.6b.** Financial Allocation for Proposition 116 Program Projects**2.6b.(1)** Local Proposition 116 Rail Program Projects

No Items This Month

**2.6b.(2)** State Proposition 116 Rail Program Projects

No Items This Month

- 2.6b.(3)** One Local Proposition 116 Non-Urban Counties Program Project  
for \$302,400.  
Resolution BFP-99-09

This item was approved on the Consent Calendar.

**2.6b.(4)** Proposition 116 Competitive Bike Program Projects

No Items This Month

**2.6c.** Financial Allocation for STIP Rail/Transit Projects

This item was approved on the Consent Calendar.

**2.6c.(1)** One Local STIP Rail/Transit Project for \$25,000,000.  
Resolution MFP-99-14

This item was approved on the Consent Calendar.

**2.6c.(2)** Two State STIP Intercity Rail Projects totaling \$7,500,000.  
Resolution MFP-99-15

This item was approved on the Consent Calendar.

**2.6d.** Financial Allocation for one Multi-Program Funded Project for \$22,327,000 to the Department of Transportation for the Oakland Maintenance Facility. (Concurrent Project Approval under Item 2.1e.)  
Resolution MBFP-99-01

This item was approved on the Consent Calendar.

**2.6e.** Allocation Amendments**2.6e.(1)** Proposition 116 Bond Funds - City of Clearlake, in Lake County Deallocate \$302,400 in unexpended Proposition 116 Non Urban Counties Program funds from the City of Clearlake's Austin Road Bicycle Path project. The County proposes to reprogram and reallocate these funds to the Olympic Drive Pedestrian Improvements project. (Concurrent Project Approval Amendment under Item 2.1d and Allocation Item 2.6b(3)).  
Resolution BFA-99-03, Amending Resolution BFA-96-25.

This item was approved on the Consent Calendar.

**2.6e.(2)** Proposition 108 Bond Funds / Transit Capital Improvement Program North San Diego County Transit Development Board (NSDCTDB) - Oceanside to San Diego Commuter Rail Corridor Expand scope of rollingstock acquisition for one additional locomotive. No change in the purpose or amount of funds allocated.  
Resolution MBFA-99-01, Amending Resolution BFP-95-50 and Resolution MFP-95-35.

This item was approved on the Consent Calendar.



**2.7** Aeronautics Financial Matters

- Allocation of \$26,000 for FY 1999-00 California Aid to Airports Program (CAAP) funds for one project from the 1998 Aeronautics Program. Resolution FDOA-99-9
- Cameron Park Airport District – Cameron Air Park Airport in El Dorado County. \$26,000 - Construct RW turnaround areas

This item was approved on the Consent Calendar.

**2.8** Time Extension Requests per CTC Resolution G-99-24, STIP Guidelines, Section 59 - Timely Use of Funds / Prop 116 Waiver Requests / Miscellaneous Requests**2.8a.** Request to Extend the Period of Project Allocation

No Items This Month

**2.8b.** Request to Extend the Period of Contract Award

- 2.8b.(1)** Request to Extend the Period of Contract Award for two TEA Projects, one in the City of Modesto and one in the City of Palm Springs.

Marsha Mason, Caltrans, reviewed the extension requests and recommended approval.

Vice Chairman Reed moved to approve the extension requests. Commissioner Wolf seconded the motion which carried 5-0 (Commissioners Abernethy, Hallisey, and Kellogg were absent).

- 2.8b.(2)** Request to Extend the Period of Contract Award for the City of Laguna Niguel Mission Viejo Commuter Rail Station Transit Capital Improvement project.

Vice Chairman Reed presented the Public Transit Committee Report and moved to approve Items 2.1a(6) and 2.8b(2). Commissioner Kozberg seconded the motion which carried 6-0 (Commissioners Abernethy and Hallisey were absent).

**2.8c.** Request to Extend the Period of Project Completion

No Items This Month

**2.8d.** Request to Extend the Period of Project Reimbursement

No Items This Month

**2.8e.** Request for Waivers/Extensions for Proposition 116 Program Projects

- 2.8e.(1)** Request for Waiver of the Proposition 116 Non Urban Counties Program Guidelines (Resolution G-91-6) which limit pre-construction expenditures to five percent (5%) of the allocated amount, for one City of Napa Commuter Bicycle Path project.

Deputy Director Robert Chung reviewed the waiver request.

Vice Chairman Reed discussed concerns about the \$2.5 million previous allocation and lack of assurance that the remaining funds will be sufficient to cover the entire project.

Commissioner Wolf moved to rescind the original allocation approval for \$2.5 million, approve an amended allocation of \$277,000 and waive the Commission's policy that allows up to five percent (5%) be expended for pre-construction activities with the understanding that Napa can be reimbursed for the original \$125,000, prior to seeking an allocation for the remaining \$2.2 million and that the Commission may approve reimbursement of the remaining \$152,000 for pre-construction activities after Napa demonstrates that it can complete or does complete the project at \$2.5 million or less. Vice Chairman Reed moved to second the motion which carried 5-0 (Commissioner Abernethy, Hallisey, and Kellogg were absent).

**ACTION: K. Jacobs/K. McGuire**

- 2.8e.(2)** Request to Extend the Period of Contract Award for two Proposition 116 Non Urban Counties Program projects; one in Lassen County and one in the City of Lakeport.

Deputy Director Robert Chung briefly reviewed the extension request and recommended approval.

Vice Chairman Reed moved to approve the extension request. Commissioner Kozberg seconded the motion which carried 5-0 (Commissioners Abernethy, Hallisey, and Kellogg were absent).

**2.9** Technical Changes to Previously Approved Resolutions

No Items This Month

**3. PROGRAM STATUS****3.1** Caltrans Monthly FY 1999-2000 Finance Report

Leslie Campbell, Caltrans, reviewed the average daily cash balance, receipts and disbursements, and cash forecast. She noted that the two-year cash forecast shows a higher trend, with month-to-month balances staying above the previous trend line.

**3.2** Monthly Report on Status of Projects Previously Voted but not Awarded

This item was presented on the Information Calendar.

**3.3 Monthly Report on Projects Amended into the SHOPP by Department Action**

This item was presented on the Information Calendar.

**3.4 Quarterly Reports – FY 1999-2000 1<sup>st</sup> Quarter**

Jack Boda, Caltrans, presented the STIP and SHOPP delivery milestones and the Seismic Safety Retrofit, Right of Way, Environmental Document Approval Status, Construction Contract Administration and Claims Exposure, and Capital Support portion of the quarterly report. During the presentation, the Commission requested Caltrans to report back on the progress toward better early-warning for environmental delivery.

**ACTION: J. Boda**

Warren Weber, Caltrans, presented the Intercity Rail portion of the quarterly report.

Manuel Lopez, Caltrans, presented the Budget Appropriation vs. Program/Allocation portion of the quarterly report.

**3.5 Status Report on Proposition 116 Bond Programs**

Proposition 116 Program Project Manager, Kathie Jacobs discussed the status of Proposition 116 applications and allocation approvals noting that through November 1999 the Commission had approved \$1.78 billion (89% of the total \$1.9 billion) for 451 project applications. During the presentation, the Commission asked staff to:

- Schedule for the January meeting, discussion regarding the remaining Proposition 116 applications/allocation approvals that may be submitted to be sure projects are viable and not simply trying to contend with the July 1 deadline.

**ACTION: K. Jacobs/R. Chung**

- Schedule for the January meeting, discussion of future administrative conduct for the Proposition 116 Program including how the Commission and the Department intend to fund administrative responsibilities under Proposition 116 after the assigned \$10 million from Proposition 116 is exhausted; also examine how to assure that fully operable projects will be completed as a condition on the receipt of Proposition 116 funds.

**ACTION: K. Jacobs/R. Chung/B. Evans/D. Cabrera**

**3.6 Status of Local Program Delivery**

Regional Agencies Moderator Vic Kamhi discussed the status of local program delivery and reported on the Local Project Delivery Task Force Meeting and recommended that Caltrans staff develop a more user-friendly local assistance manual. The Commission accepted his recommendation and asked Caltrans staff to develop user-friendly products to make information in the Local Assistance Manual more accessible.

**ACTION: D. Mah**

Mr. Kamhi also requested the Local Project Delivery "Report Card" due at the January Commission meeting be delayed to the February meeting. The Commission accepted his request and asked Caltrans and the RTPA Moderator to notify the Regional Agencies that the "Report Card" due in January can be delayed until February.

**ACTION: V. Kamhi/B. Buckley**

**4. POLICY MATTERS****4.1 State Legislative Matters**

- No Items Scheduled for Discussion

**4.2 Adoption of 1999 Annual Report to Legislature**

Executive Director Remen reviewed the statutory mandate for the Annual Report and noted that this year's report had 2 major themes: funding constraints and delivery restraints. He reviewed the sections of the Report, comments and edits received from Commissioners, Caltrans, and Regional Agencies. He also read into the record a letter from John Ferrara and Secretary Contreras-Sweet.

The Commissioners discussed the report and changes.

Vice Chairman Reed moved to approve the Report with the changes discussed and authorize the Chairman to sign off and submit the Report to the Legislature. Commissioner Wolf seconded the motion which carried 7-0 (Commissioner Hallisey was absent).

**ACTION: R. Remen**

**4.3 Adoption of Updated Regional Transportation Plan (RTP) Guidelines**

Deputy Director Chuck Oldham briefly reviewed the Guidelines and staff policies and recommended approval.

Sharon Scherzinger, Caltrans, reviewed the guidelines and major issues. She noted that the guidelines were posted on the Caltrans Web page.

Vice Chairman Reed moved to approve the Guidelines and Policies recommended by staff. Commissioner Wolf seconded the motion which carried 7-0 (Commissioner Hallisey was absent).

**4.4** Loan Programs**4.4a.** Preliminary Estimate of Loan Capacity Under AB 1012 for Short and Long Term Loans

Leslie Campbell, Caltrans, presented the preliminary estimate of loan capacity noting that \$500 million would be available for short-term loans of a year or less.

Executive Director Remen noted that this item would return in January for action.

**4.4b.** Discussion of Steps Toward Integrating Loan Programs, Financing, and Fund Advancement

This item was rescheduled for the January 2000 Commission meeting.

**4.5** Alameda Corridor East Full Funding Plan and Draft Memorandum of Understanding

Deputy Director Chuck Oldham presented an overview of the purpose of the Workshop, noting that it would include the Alameda Corridor East and grade separation needs of Orange, Riverside, and San Bernardino Counties and examine the ACE capital plan and the MOU.

The following individuals presented information during the workshop:

- David Stein, SCAG
- Ty Schuling, SANBAG
- Dave Elbaum, OCTA
- Susan Cornelison, RCTC
- Councilman Harry Baldwin, City of San Gabriel
- Rich Richman, ACE
- Bruce Ackerman, San Gabriel Valley Economic Partnership
- Bill Ruh, Assemblyman Soto's Office
- Fernando Chavez, Senator Solis' Office

The following action items resulted from the workshop:

- Provide documentation on the 192,000 jobs resulting from the Alameda Corridor East projects.

**ACTION: R. Richmond**

- Develop an issue paper on the role of the Commission and the State as a catalyst in resolving the funding requirements for an interstate freight rail corridor extending the Alameda Corridor from near downtown Los Angeles to the east through San Bernardino.

**ACTION: C. Oldham**

Commissioner Torres moved to approve the MOU. Commissioner Kozberg seconded the motion. Chairman Sylvester noted that no more State funding should be made available to the Alameda Corridor East and surrounding area projects in the absence of a full funding plan which includes the railroads' commitment to participate to a significant extent. Commissioner Kozberg suggested that the motion be amended to include this requirement. Commissioner Torres accepted the amendment to his motion. The motion carried 7-0 (Commissioner Hallisey was absent).

**4.6** Amend Project Study Report (PSR) Guidelines Regarding Commission  
Role in Resolving Scoping Issues  
Resolution G-99-\_\_\_\_, Replacing Resolution G-99-30

Debbie Mah, Caltrans, reviewed the changes to the guidelines and recommended approval.

Commissioner Wolf moved to approve the guidelines. Vice Chairman Reed seconded the motion which carried 5-0 (Commissioners Abernethy, Hallisey, and Kellogg were absent).

**4.7** TEA Statewide Transportation Enhancement (STE) Program

**4.7a.** Adoption of TEA Statewide Transportation Enhancement Program  
Guidelines  
Resolution G-99-\_\_\_\_

Marsha Mason, Caltrans, presented the guidelines, summarized the changes made, and recommended approval.

Commissioner Wolf moved to approve the guidelines. Commissioner Kozberg seconded the motion which carried 6-0 (Commissioners Hallisey and Kellogg were absent).

**4.7b.** Fund Estimate for First Round of STE Program, Spring 2000

Marsha Mason, Caltrans, discussed the fund estimate and recommended 3 annual cycles with \$10 million in the first round and \$15 million in the second and third rounds.

The Commissioners discussed options and implications for various funding cycles. After discussion, Vice Chairman Reed moved to approve two annual cycles with \$15 million for the first cycle in spring 2000 and \$25 million for the second cycle in late 2001. Commissioner Abernethy seconded the motion which carried 6-0 (Commissioners Hallisey and Kellogg were absent).

**4.8** Status of Design Sequencing Project Selection per AB 405 (Knox, 1999)

This item was rescheduled to the January 2000 meeting.

**4.9 Rural Counties Task Force Annual Report**

Rick Gumz, Rural Counties Task Force Coordinator, summarized the history of the Rural Counties Task Force and briefly reviewed the Task Force's annual Report.

Charles Field, Rural Counties Task Force Chairman, discussed the rural counties' priorities and the types of demands that create hardships for the rural counties.

Chief Deputy Director Pete Hathaway, discussed several rural county issues including lack of funding for road maintenance and rehabilitation, excess red tape and paperwork, and confusing local assistance information.

The following action items resulted from discussion of this item:

- Schedule for the Rural Counties Task Force January meeting a workshop on improving the utility of local assistance support and/or planning from Caltrans for rural counties with a report back at the February Commission meeting.

**ACTION: R. Gumz**

- Explore with Business Transportation and Housing Agency the possibility of a Budget Change Proposal to further assist rural transportation planning.

**ACTION: B. Evans**

- Incorporate into the first volume of the Annual Report the discussion of rural transportation issues presented by the Rural Counties Task Force Annual Report.

**ACTION: R. Remen**

- Schedule report from the Rural Counties Task Force every six months rather than annually.

**ACTION: C. Field/R. Gumz**

- Consider amendment to STIP Guidelines permitting local rehabilitation projects to be programmed for specific dollar amounts with flexible project scope.

**ACTION: D. Brewer**

**4.10 Right of Way Related Issues****4.10a. 1999 Airspace Annual Report**

Gene Mattocks, Caltrans, presented the Airspace Annual Report noting that Caltrans had received a net income of \$17.4 million from airspace leasing and telecom licensing in FY 1998-99 which was a 49% net increase from the prior year.

**4.10b. Follow-up Report on Expansion of the Wireless Telecommunications Master License Agreement Pricing Matrix**

Gene Mattocks, Caltrans, reported that Caltrans was withdrawing the expansion proposal and will continue to use encroachment permits.

**4.10c.** Report on Sales Under Increased Delegation of Director's Deeds, per G-98-22

Gene Mattocks, Caltrans, reported, in response to a Commission request, that total delegated sales had an estimated value of \$460,621 and were sold for \$452,309.

**5. MODAL ISSUES****5.1** Rail

- Yosemite Area Regional Transportation System (YARTS): Status of Environmental Clearance, Joint Powers Authority and Prospects for Operator Selection  
(Related Item under 2.5c.(2).)

Vice Chairman Reed reviewed the Public Transit Committee's recommendations on Items 5.1 and 2.5c(2) and moved to approve them both. Commissioner Kozberg seconded the motion, which carried 6-0 (Commissioners Abernethy and Hallisey were absent).

**5.2** Highways

- Route 710 Long Beach Freeway/City of Long Beach Transfer

Bob Sassaman, Caltrans, reported on the status of identifying hazardous sites and negotiations for transferring the roads to the State. He also stated that the transfer can be accomplished administratively and does not require legislation.

- Report on Negotiations for the LA 101/405 Auxiliary Lane

Bob Sassaman, Caltrans, reported that Caltrans has come to an agreement with the Galleria on the LA 405/101 Auxiliary Lane project. Mee Lee, Douglas, Emmett & Company, and David Grannis, Planning Company Associates, thanked the Commission for helping to resolve this issue.

**6. OTHER MATTERS**

There being no further business, the meeting adjourned at 12:15 p.m.

---

Robert I. Remen, Executive Director